



Sheet 1 of 1

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 18511-011001	Application No. 10/686,886
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Christopher A. Rygaard	
(37 CFR §1.98(b))		Filing Date October 15, 2003	Group Art Unit 2131 2135

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
	AL						Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
	AM						
	AN						
	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
RM	AQ	Jansen et al., "Mobile Agent Security" NIST Special Publication 800-19, pp. 1-38, August 1999
	AR	
	AS	
	AT	

Examiner Signature	/Randal Moran/	Date Considered	01/04/2007
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			



Attorney Docket No.: 1010722-991141

Form PTO-1449 (modified 2/91)	U.S. DEPT. OF COMMERCE Patent and Trademark Office	Attorney Docket Number: 1010722-991141	Serial Number: 09/686,886
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		Applicant: Christopher Rygaard Filing date: October 15, 2003 Group art unit: 213T 2135	

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

	Document number	Date	Country	Class	Sub-class	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

RM	W.A. Jansen, "A Privilege Management Scheme for Mobile Agent Systems" NIST May 2001
RM	Wayne Jansen, "Countermeasures for Mobile Agent Security", NIST November 2000
RM	Wayne Jansen et al., "Privilege Management of Mobile Agents", NIST October 2000
RM	W. Jansen, "Intrusion Detection with Mobile Agents" Computer Communications Journal, Special Issue On Intrusion Detection October 2, 2001
RM	"Jumping Beans™ White Paper" Ad Astra Engineering, Incorporated December 1998

Examiner: /Randal Moran/	Date Considered: 01/04/2007
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP '609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	



Attorney Docket No.: 1010722-991141

Form PTO-1449 (modified 2/91) INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	U.S. DEPT. OF COMMERCE Patent and Trademark Office	Attorney Docket Number: 1010722-991141	Serial Number: 10/686,886
		Applicant: Christopher Rygaard	
		Filing date: October 15, 2003	Group art unit: Unknown 2135

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Examiner Initial	Patent number	Date	Name	Class	Sub-class	Filing date if appropriate
RM	5,633,932	05/1997	Davis et al.			
	5,850,516	12/1998	Schneier			
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	5,963,731	10/1999	Sagawa et al.			
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RM	6,622,157	09/2003	Heddaya et al.			

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	Document number	Date	Country	Class	Sub-class	Translation YES	NO
RM	EP0942370A1						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

RM	<u>Intrusion Detection with Mobile Agents</u> , Computer Communications Journal, Special Issue on Intrusion Detection, Jansen
RM	<u>Determining Privileges of Mobile Agents</u> , Proceedings of the Computer Security Applications Conference, December 2001. Jansen
RM	<u>A Privilege Management Scheme for Mobile Agent Systems</u> , First International Workshop on Security of Mobile Multiagent Systems, Autonomous Agents Conference, May 2001. Jansen



RM	<u>A Denial of Service Resistant Intrusion Detection Architecture</u> , Computer Networks, Special Issue on Intrusion Detection, Elsevier Science BV, November 2000. Mell et al.
	<u>Countermeasures for Mobile Agent Security</u> , Computer Communications, Special Issue on Advanced Security Techniques for Network Protection, Elsevier Science BV, November 2000. Jansen
	<u>Privilege Management of Mobile Agents</u> , National Information System Security Conference, October 2000. Jansen et al.
	<u>Mobile Agent Attack Resistant Distributed Hierarchical Intrusion Detection Systems</u> , Second International t Workshop on Recent Advances in Intrusion Detection, September 1999, Purdue University. Mell et al.
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	<u>Mobile Agent Security</u> , NIST Special Publication 800-19, August 1999. Jansen et al
	<u>Agents for the Masses: Is it Possible To Make Development of Sophisticated Agents Simple Enough To Be Practical?</u> IEEE Intelligent Systems, Special Issue on Agents, May-June, 1999. Bradshaw et al.
	<u>Network Security Testing Using Mobile Agents</u> Third International Conference and Exhibition on the Practical Application of Intelligent Agents and multi-Agent Technology. London, UK March 1998. Karygiannis
	<u>A Framework For Multi-Mode Authentication: Overview and Implementation Guide</u> , NISTIR 7046 August 2003. Jansen et al.
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	<u>Security Policy Management for Handheld Devices</u> . The Int'l. Conference on Security and Management (SAM'03), June 2003. Jansen et al.
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↓	Office Action dated December 22, 2003 for U.S. Patent Application S/N 09/591,034 filed June 9, 2000
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RM	Office Action dated December 31, 2003 for U.S. Patent Application S/N 09/645,028 filed August 23, 2000

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